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August 28, 2001

Commissioner for Patents Washington, D.C. 20231

Group Art Unit: 1614

Re: U.S. Utility Patent Application

Appl. No. 09/446,317; § 371 Date: April 17, 2000

(CPA Filed: December 29, 2000)

For: Complexes for Transporting Nucleic Acid Into Eukaryotic Higher Cells

Inventors: WAGNER et al.

Our Ref: 0652.2010000/EKS/PSC

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Amendment And Reply Under 37 C.F.R. § 1.111, with Exhibits A-E;
- 2. Declaration of Manfred Ogris Under 37 C.F.R. § 1.132, with Exhibits 1.4.
- 3. Fee Transmittal Form (PTO/SB/17) (in duplicate);
- 4. Petition For Extension of Time Under 37 C.F.R. § 1.136(a)(1) (in duplicate);
- 5. Our check no. 32370 for \$890.00 to cover Extension fee under 37 C.F.R. § 1.136; and
- 6. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents August 28, 2001 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Eric K. Steffe

Attorney for Applicants Registration No. 36,688\

EKS/PSC/lam

**Enclosures** 

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PTO/SB/17 (11-00)
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OIPE	FEE TRANSMITTAL
البر AUG 2 8 2001	for FY 2001
01	Patent fees are subject to annual revision.

TOTAL AMOENT OF PAYMENT

	C mplete if Kn wn						
	Application Number	09/446,317					
	§ 371 Date	April 17, 2000 (CPA Filed: December 29, 2000					
	First Named Inventor	Ernst Wagner					
	Examiner Name	Schnizer, R.					
	Group Art Unit	1614					
	Attorney Docket No.	0652.2010000/EKS/PSC					

ACCUSED ON DAYS (PARTY)				EEE	CALCULATION ( 4)	A	再
METHOD OF PAYMENT (check one)				FEE	CALCULATION (continued)	<del>í ⊆</del>	<del>`</del>
1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:	3. ADDIT Large			Entity	·	G 3 1	
Deposit Account Number 19-0036	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		<u> </u>
Deposit Account Name Sterne, Kessler, Goldstein & Fox P.L.L.C.	105	130	205	65	Surcharge - late filing fee or oath		#
	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	3.	-
☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	139	130	139	130	Non-English specification		
Applicant claims small entity status See 37 CFR 1.27		2,520	147	2,520	For filing a request for ex parte reexamination	n	
		920*	112	920*	Requesting publication of SIR prior to Examiner action		İ
2. Payment Enclosed:	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
Check Credit card Money Order Other*  *Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.	115	110	215	55	Extension for reply within first month		7
FEE CALCULATION	116	390	216	195	Extension for reply within second month		
I. BASIC FILING FEE	117	890	217	445	Extension for reply within third month	890.00	
Large Entity Small Entity	118	1,390	218	695	Extension for reply within fourth month		
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	128	1,890	228	945	Extension for reply within fifth month		
101 710 201 355 Utility filing fee	119	310	219	155	Notice of Appeal		1
106 320 206 160 Design filing fee	120	310	220	155	Filing a brief in support of an appeal		٦
107 490 207 245 Plant filing fee	121	270	221	135	Request for oral hearing		ヿ
108 710 208 355 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding		7
114 150 214 75 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable		
0.1000000000000000000000000000000000000	141	1,240	241	620	Petition to revive - unintentional		
SUBTOTAL (1) (\$) 0.00	142	1,240	242	620	Utility issue fee (or reissue)		_
•	143	440	243	220	Design issue fee		_
	144	600	244	300	Plant issue fee		_
	122	130	122	130	Petitions to the Commissioner		1
2. EXTRA CLAIM FEES Fee from Extra below Fee Pald	123	130	123	130	Petitions related to provisional applications		
Total Claims 20** = X =	126	180	126	180	Submission of Information Disclosure Stmt		
Indep. Claims 3** = X =	581	40	481	40	Recording each patent assignment per property (times number of properties)		
Multiple Dependent =	146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))		
Large Entity Fee Fee Fee Fee Description Code (\$) Code (\$)	149	710	249	355 <sup>-</sup>	For each additional invention to be examined (37 CFR 1.129(b))	d T	7
Code (\$) Code (\$)  103 18 203 9 Claims in excess of 20	179	710	279	355	Request for Continued Examination (RCE)		7
102 80 202 40 Independent claims in excess of 3	169	900	169	900	Request for expedited examination of a design application		1
104 270 204 135 Multiple dependent claim  108 80 209 40 **Reissue independent claims over original	Other fee (	(specify) :					$\dashv$
patent 110 18 210 9 TReissue claims in excess of 20 and over original patent	Other fee (	(specify) :					$\dashv$
SUBTOTAL (2) (\$) 0.00	*Reduced	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 890.00					
** or number previously paid, if greater, For Reissues, see above						hle)	
Name (Print/Type) Eric K. Steffe		R△	aistration	ı No	Complete (if applica		
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